

Implementing the Sequential Intercept Model in Maricopa County:

It's More than "Kumbaya"



It's More Than "Kumbaya" 2010 CABHP Summer Institute

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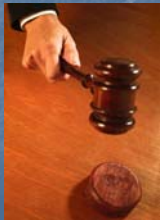
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The Sequential Intercept Model

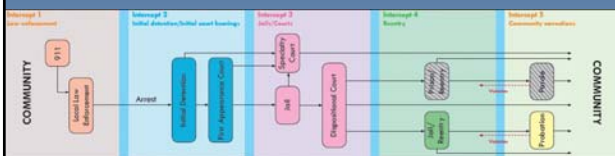
- Developed by Mark R. Munetz, MD and Patricia A. Griffin, PhD. (2006)
- Provides conceptual framework for communities to organize targeted strategies for justice-involved persons with Serious Mental Illness
- Focal point for communities to assess available resources, determine gaps in services, & plan for community change.

The Sequential Intercept Model

Three Major Responses Needed for Every Community

- Diversion Programs
- Institutional Services
- Re-entry Transition

The Sequential Intercept Model



Intercept 1 Law Enforcement

Collaboration between law enforcement and mental health delivery system led to Service Level Change.

Robert Williamson, MD
Medical Director
Connections Arizona

Nick Margiotta
Crisis Intervention Training Coordinator
Phoenix Police Department



Intercept 1 Law Enforcement

Goal: Train officers to respond to calls where mental illness may be a factor.

- Crisis Intervention Training

1,000 officers throughout Maricopa County received 40 hours of CIT since 2001



Intercept 1 Law Enforcement

Goal: Provide police-friendly drop off at local hospital, crisis unit, or triage center.


- Crisis System immediately responds to more than 3,000 police requests for community Crisis Mobile Teams annually
- Urgent Psychiatric Care Wait-times reduced from an hour to under seven minutes
- Officers use UPC 400x/month .



Intercept 2
Initial detention/Initial court hearings

Goal: Initiate process that identifies those eligible for diversion.

- Jail Data Link is the foundation for information sharing across intercepts 2 – 5
- Maricopa County Criminal Justice Behavioral Council identified need for jail mental health diversion program and identified need for data link in 1990.
- Data Link funded through SAMHSA Jail Diversion Knowledge Development and Application Initiative in 1997



Intercept 3
Courts



Administrative Order 2005-055 (Amended)
IN THE MATTER OF IMPLEMENTATION OF PROCEDURES TO SHARE IDENTIFYING INFORMATION FOR INDIVIDUALS WITH MENTAL ILLNESS

Administrative Order 2006-060
IN THE MATTER OF THE COMPREHENSIVE MENTAL HEALTH COURT

Continuity of Care Hearings

Intercept 2
Initial detention/Initial court hearings


Goal: Pre-trial diversion

Phoenix Municipal Court

- Differed prosecution
- Formal 12 week program
 - Cognitive Behavioral Therapy Group
 - Peer Run Recovery Group

Tempe Municipal Court


- Differed prosecution model
- Problem Solving court



Intercept 2
Initial detention/Initial Court Hearings


Goal: Screening at court by court staff.
Coordination with Pre-trial Services

- Admin Order 2006-060 allows link with court to identify SMI enrolled/Pre SMI in custody
- Maricopa County Adult Probation Pre-Trial Services (PSA) identify SMI persons prior to initial appearance
- PSA forwards release conditions to Magellan Court Advocacy





Intercept 3 - Courts
Action for System-Level Change



Goal: Encourage and support collaboration among stakeholders through joint projects, blended funding, information sharing, and cross-training.

Comprehensive Mental Health Court
Our Mission
"Integrating community resources to meet the unique needs of persons with mental illness in the court system, thereby enhancing community safety."

Intercept 3 - Courts
Action for System-Level Change



Comprehensive Mental Health Court
The Vision ...

Provide continuity of care for persons with mental illness going through the court process

- Enhance communication between the courts, pretrial services, correctional health services, attorneys, probation and local behavioral health services for persons with mental illness
- Tailoring intervention for the unique needs of persons with mental illness by highly specialized problem solving courts and stakeholders

Intercept 3
Courts



**Goals: Court Coordination
Court Feedback
Service Linkage**



- Identify unique needs
- Contract for success
- Active engagement in treatment
- Recognize compliance
- Learn from digressions
- End the revolving door
- Strengthen our community
- Save \$'s

Intercept 3
Courts



This is how we do it ...

- Establish a common ground
- Provide one integrated court for persons with mental health issues
- Create & implement helpful policies and procedures
- Provide early identification & share information
- Keep the focus on the person and our goals

Intercept 3 Courts



And continue to do it ...

- Provide specialized probation officers, liaisons and court
- Monitor progress through scheduled appearances
- Enhance continuity through care coordination through specialized probation officers, clinical care providers and judicial officers
- Applaud success

Intercept 3 Courts



What are our tools for success ...

- Identifying the unique needs
- Collaboration in meetings & work groups
- Learn from the each other
- Be clear about expectations
- Empower probation officers and case mangers

Intercept 3 Courts




And we do mean \$uccess ...

- Focus on Success
- Be accountable
- Judicial encouragement
- Provide cost \$avings analysis!




**Maricopa County
Jail Population**



- 130,000 booked last year (350/day)
- Average Daily Population 9000-8500
 - 75% pre-trial detainees
 - 25% on psychotropic medications; 4% SMI "across U.S. 300,000 – 400,000 mentally ill are incarcerated on any given day; each year > 1.1 million diagnosed with mental illness arrested and booked into U.S. jails
 - 90% males; of females, 50-60 pregnant
 - 2% juveniles remanded to adult court

**US Constitution and
Confinement**



- 8th and 14th Amendments: Protection for Incarcerated Persons - only population with constitutional right to healthcare
 - Inmates must have access to care, a right to care that is ordered, and to professional judgment
- Department of Justice: completed investigations in 20 jail/prison systems past decade (more underway) (National Leadership Forum for Behavioral Health/Criminal Justice Services 2009)
- Maricopa County: Graves v. Arpaio

Intercept 2 Initial Detention



Goal: **Screening**

- To identify those needing mental health treatment
- To screen for mental health needs at the earliest opportunity
- Use validated, simple instrument and matching management information systems

Access to Care and Identifying Those in Need of Mental Health Services



- Intake/receiving screening
- Mental health assessment
- Patient Health Care Request form (or verbally)
- Family/attorney/advocate phone calls/email via CHS Clinical Liaison (24 hour line)
chsclinalliaison@mail.maricopa.gov
- Detention Officers from Sheriff's Office



**Enhancing Screening
Working With What You've Got**

- Previous electronic prebooking screen (JMS interface)
 - Incorporated vitals
 - 44 questions primarily related to health conditions
 - 15 observations (next slide for mental health and substance related questions)
- Not easily changed due to MCSO-JMS system

**Original CHS Receiving Screen Questions:
Mental Health, Suicidality & Substance Use**

1. Have you received medical treatment since your arrest?
What medical treatment? _____
2. Are you presently taking prescription medication?
What medications? _____
Pharmacy/location _____
29. Do you use street drugs?
Date/time last used street drugs? _____
30. Do you share needles?
31. Will you have drug withdrawal?
32. Do you drink alcohol daily?
Date/time last drink? _____

**Original CHS Receiving Screen Questions:
Mental Health, Suicidality & Substance Use
(continued)**

33. Have you had alcoholic seizures or DTs?
34. Have you been treated for mental illness?
When/what for? _____
35. Do you have a case manager?
36. Have you ever attempted suicide?
When/how? _____
37. Are you thinking of hurting yourself/suicidal?
Observations section: Does person appear confused / disoriented?
under the influence of or withdrawing from drugs or alcohol?


**Prebooking
Mental Health Screening**



If there is a 'Yes' Answer to any questions, send duplicate to Mental Health


- S 1 Have you ever been charged with a violent crime? **Y N**
- S 2 Use physical force to get what you want or when angry? **Y N**
- S 3 Have you ever been charged with a sex crime? **Y N**
- S 4 Have you ever served in the U.S. Military? **Y N**
- S 5 Have you ever been designated as Seriously Mentally Ill (SMI)? **Y N**
- S 6 Received help from Department of Developmental Disabilities (DDD)? **Y N**
- S 7 Have you ever had a head injury? **Y N**
What/When?
- S 8 Have you ever been victimized? **Y N**
- S 9 Have you ever been sexually assaulted? **Y N**

**Prebooking Mental Health Screening
(continued)**



- S 10 Difficulties learning or ever in special education classes? **Y N**
- S 11 Ever have or do you have a guardian now? **Y N**
- S 12 Do you currently believe that someone can control your mind by putting thoughts into your head or taking thoughts out of your head? **Y N**
- S 13 Do you currently feel that other people know your thoughts and can read your mind? **Y N**
- S 14 Have you currently lost or gained as much as two pounds a week for several weeks without even trying? **Y N**
- S 15 Have you or your family or friends noticed that you are currently much more active than you usually are? **Y N**
- S 16 Do you currently feel like you have to talk or move more slowly than you usually do? **Y N**
- S 17 Have there currently been a few weeks when you felt like you were useless or sinful? **Y N**
- S 18 Are you currently taking any medication prescribed for you by a physician for any emotional or mental health problems? **Y N**
- S 19 Have you ever been in a hospital for emotional or mental health problems? **Y N**

**Prebooking
Mental Health Screening
(continued)**



Additional Observations:

- S 20 Excessive emotional distress to incarceration? **Y N**
- S 21 Is the individual Frail/Elderly? **Y N**
- S 22 Language barrier **Y N**
- S 23 Difficulty understanding questions **Y N**
- S 24 Appears under the influence of drugs or alcohol. **Y N**
- S 25 Non-Cooperative **Y N**

Just a thought....

"The degree of civilization in a society can be judged by entering its prisons"

F. Dostoyevsky

"The first requirement of civilization is that of justice:

S. Freud

Intercept 3 Jails



Goal: Provide services consistent with community and public health standards, including appropriate psychiatric medications.

Patients at screening acknowledging psychotropic medications:

- Seen by RN at intake; medication verification begins (10/08)
- Medication orders obtained. Includes 15 day nonformulary requests (3/09), minimum for community prescribed medications (some exceptions)

Intercept 3 Jails



Goal: Jail Based Services (cont)

- 24 hour Clinical Liaison phone line for family, advocates, attorneys to identify patients coming in/new information that can impact well being
- HR Problem List coordinates general & mental health, substance abuse treatment problems

**Intercept 3
Jails**



- Magellan provider clinics contacted for SMI designated patients
 - Notify case managers
 - Obtain medication and treatment records
 - Initiate weekly staffings for SMI designated patients in mental health housing
 - Reentry planning initiated for SMI designated patients for treatment and service needs
 - Emergent petitions for those released after initial appearance who are at high risk

**Action for System-Level
Change in the Jail Setting:
Improvements in Process**



- Improved and expanded access to care
- Increased assessment/treatment for patients with self harming behavior/suicide attempts-increased staff training
- Better integrating general medical and mental health treatment
- Increasing Substance Abuse assessment, integrating into treatment planning –modeling after SAMHSA TIP 44
- Increased use of Evidence Based Treatment:
 - Motivational Interviewing
 - Dialectical Behavioral Therapy

.... Miles to go before we sleep...
Robert Frost



Intercept 4 Reentry

Goal: Reach-in engagement

- Coordinated release from jail for SMI enrolled from Correctional Health Services and the Comprehensive Mental Health Court



Intercept 4 Reentry

Goal: Reach-in Engagement and Specialized Case Management Teams

- SMI evaluation while in custody to allow for release planning
- Referrals to Forensic ACT teams
- Coordination between Az Dept of Corrections, Maricopa County Adult Probation Magellan and Department of Economic Security.

Intercept 4 Reentry

Goal: Boundary spanner can coordinate institutional with community mental health and community supervision strategies.

Osher, F., Steadman, J.J., & Barr, H 2002

Court Liaisons Provide Link between Direct Care Sites and Justice System

- Competency Determination
- SMI Probation Violation Calendar
- Continuity of Care Hearings
- Civil Commitment
- Juvenile Court
- Az Dept of Corrections
- Correctional Health Services



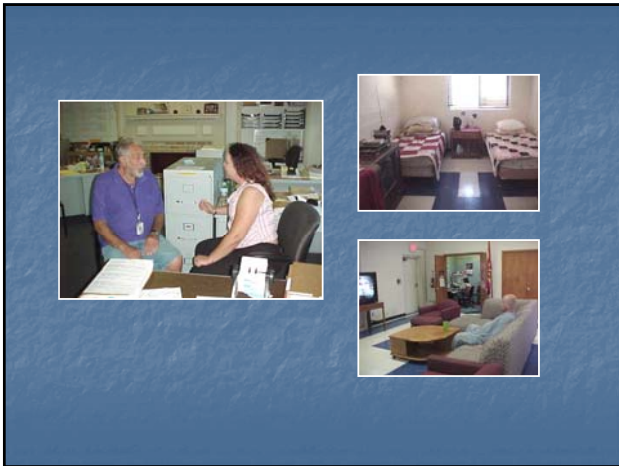
Intercept 4 Reentry

Goal: Prompt access to medication mental health and health services benefits and housing.

Through gap analysis – these area identified as most need in Maricopa County

Stakeholders focus attention on these areas.





Maricopa County population 4 million

- 54,000 currently on probation supervision in Maricopa County
- Over 20,000 people in Maricopa County determined to be Seriously Mental III
- Approximately 700 SMI enrolled individuals on probation.
- 612 individuals assigned to the special SMI Probation Units.



Intercept 5 Community Corrections

Goal: Screening
SMI Probation Units

Eligibility Criteria:

- Qualifying Axis I or II diagnosis by a psychiatrist
- Functional impairment based on AZ Department of Health Services criteria
- Supervision difficulties
- 76% are case managed by Magellan provider
- 60% are dual diagnosed with a substance abuse disorder as well as mental illness
- To make referral contact Rebekah Trexler (602) 619 1369 or Norma Brasda (602) 257 1704



Intercept 5 Community Corrections

Goal: Maintain a Community of Care.
Partnership between Probation Officer and Case Manager.

- Standard Order on Civil Commitment to Collaborate.
- Collaborative Protocol
- Admin order to share information
- Morten and Garfield



Intercept 5 Community Corrections

Goal: Implement a Supervision Strategy
Evidence based practices recommendation :

- specialized caseloads
- reduced caseload numbers
- officer training
- integration of internal and external resources to meet client needs
- problem-solving strategies to address compliance.

J. Skeem



Intercept 5 Community Corrections

Goal: Graduated Responses & Modification of conditions of Supervision

How it works...

- Emphasis on the specialized unit, the officer's role and their ability to individualize treatment plans
- Developing the treatment plan assuring evidence based practices are utilized
- Officer's discretion valued
- The importance of Mental Health Court staffings, sanctions and rewards



Intercept 5 Community Corrections

Sustainability

Performance measures and outcome data

- Reduction in new felony convictions: <5% (SMI) vs 9.0%(Standard)
- Reducing petitions to revoke probation that end in Department of Corrections sentence via Mental Health Court and enforcing compliance with conditions
- Programs provide more specialized services to mentally ill offenders
- Transition of Non T19 SMI on probation



The Workgroup

Goal: Encourage and support collaboration and stakeholders through joint projects, blended funding, information sharing, and cross-training

Arizona Mental Health and Criminal Justice Coalition

For information about future meetings contact Fran Bardino ABARDINO@aol.com





Panel Discussion

- Questions & Answers

It's More Than "Kumbaya"

2010 MHA AZ Seeds Symposium

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